

PRISM WEALTH-BUILDING PROCESS



Monitoring Your Life Stages

Over the past year, have any of the following happened?

Family	Yes/No	Who	Does It Impact Your Goals?	Does It Impact Your Will, Life Insurance or Trusts?	Does It Change a Beneficiary?
Birth/Adoption					
Death					
Marriage					
Separation					
Divorce					
Start or Graduate From College					

Change in Health	Yes/No	Description	Does It Impact Your Goals?	Does It Impact Your Will, Life Insurance or Trusts?	Does It Change a Beneficiary?
You					
Spouse/Partner					
Dependent					
Other Close Relative					

Employment (You or Spouse/Partner)	Yes/No	Description	Does It Impact Your Goals?	Does It Impact Your Will, Life Insurance or Trusts?
New Job				
Change in Compensation				
Job Loss				
Retirement				

Housing	Yes/No	Description	Does It Impact Your Goals?	Does It Impact Your Will, Life Insurance or Trusts?
Bought/Sold Residence				
Reverse Mortgage (New/Borrowed From)				
Move Into Retirement Community				
Bought/Sold Vacation Home				

Change in Wealth	Yes/No	Description	Does It Impact Your Goals?	Does It Impact Your Will, Life Insurance or Trusts?
Inheritance				
Portfolio Returns				
Bought/Sold Business				
Other Big Influx/Outflow				

Inventory of Key Estate Planning Information

Beneficiaries*

Account	Names

*Check against the Investment Account Inventory List:

<https://www.aaii.com/latest/article/12932-a-worksheet-for-listing-all-of-your-investment-accounts>

Financial and Estate Planning Professionals

Type	Name	Phone Number and Email

Doctors**

Type	Name	Phone Number and Email

Life Insurance Policies

Type	Company	Policy Number	Phone Number and Website

Pensions and Annuities

Type	Company	Pension/Contract Number	Phone Number and Website

Wills and Trust Documents

Type	Location	Date of Last Update

Funeral Arrangements**

Type	Funeral Home/Cemetery	Phone Number and Website

Digital Accounts

Type	Username/Password	URL

Other Documents**

Type	Location
Military ID/Discharge Papers	
Personal Contacts	
Final Wishes	
House Title	
Vehicle Titles	
Other	

**Though not tied to your investments, heirs may appreciate having this information included.